

## **Details on Customer Complaints**

<b>Sr.No</b>	<b>Particular</b>	<b>Page No</b>
1	Complaint Register Stock Broker	2-3
2	Complaint Register Depository Participant	4-5
3	Complaint Register Research Analyst	6-7
4	Complaint Register Merchant Bankers	8-9

**Complaints received by Stockbrokers bearing SEBI Registration number- INZ000163138 for the month of December 2021**

**Data for every month ending – December 2021**

Sr.No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges (if relevant)	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

**Monthly Trend for disposal of complaints**

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2021	0	0	0	0
2	May 2021	0	0	0	0
3	June 2021	0	0	0	0
4	July 2021	0	0	0	0
5	August 2021	0	0	0	0
6	September 2021	0	0	0	0
7	October 2021	0	0	0	0
8	November 2021	0	0	0	0
9	December 2021	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month December 2021
1	2017-18	NA	NA	NA	NA
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*SEBI Registration was received in March 2018

**Complaints received by Depository Participants bearing SEBI Registration number IN-DP-589-2021 for the month of December 2021.**

**Data for every month ending – December 2021**

Sr.No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Depositories	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

**Trend of Monthly disposal of Complaints**

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2021	0	0	0	0
2	May 2021	0	0	0	0
3	June 2021	0	0	0	0
4	July 2021	0	0	0	0
5	August 2021	0	0	0	0
6	September 2021	0	0	0	0
7	October 2021	0	0	0	0
8	November 2021	0	0	0	0
9	December 2021	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of December 2021
1	2017-18	NA	NA	NA	NA
2	2018-19	NA	NA	NA	NA
3	2019-20	NA	NA	NA	NA
4	2020-21	NA	NA	NA	NA
5	2021-22	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Dp Registration was received by CDSL in the month of May 2021

**Complaints received by Research Analyst bearing SEBI Registration number INH000007526 for the month of December 2021.**

**Data for every month ending – December 2021**

Sr.No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	<b>Grand Total</b>	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2021	0	0	0	0
2	May 2021	0	0	0	0
3	June 2021	0	0	0	0
4	July 2021	0	0	0	0
5	August 2021	0	0	0	0
6	September 2021	0	0	0	0
7	October 2021	0	0	0	0
8	November 2021	0	0	0	0
9	December 2021	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

**Trend of annual disposal of complaints**

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of December 2021
1	2019-20	NA	NA	NA	NA
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

\*Research Analyst Registration was taken in January 2020

**Complaints received by Merchant Bankers bearing SEBI registration number INM000012485 for the month of December 2021**

**Data for every month ending – December 2021**

Sr.No	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	<b>Grand Total</b>	0	0	0	0	0	0

**Monthly trend for the financial year – FY 2020-21**

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	January 2022	-	-	-	-
2	February 2022	-	-	-	-
3	March 2022	-	-	-	-
4	April 2022	-	-	-	-
5	May 2022	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month



**Trend of annual disposal of complaints**

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of December 2021
1	2021	0	0	0	0
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>